

**DIVISION OF MENTAL HEALTH AND ADDICTION (DMHA)  
HOSPITAL REFERRAL**State Form 52179 (R2 / 3-13)  
FAMILY AND SOCIAL SERVICES ADMINISTRATION  
DIVISION OF MENTAL HEALTH AND ADDICTIONDate of referral (*month, day, year*)Update (*month, day, year*)Mark all State Operated Facilities (SOFs) receiving: ☐ EPCC ☐ ESH ☐ MSH ☐ LCH ☐ RSH ☐ LSHPreference: ☐ EPCC ☐ ESH ☐ MSH ☐ LCH ☐ RSH ☐ LSH

Primary Diagnosis/Population Type:

☐ SMI ☐ MICA ☐ SED ☐ Forensic ☐ Research

Secondary Diagnosis:

☐ MRDD

Bed type requested:

☐ Allocated ☐ Unallocated

Referral Source to Gatekeeper:

☐ Self, family, or friend☐ Non-Health Care Facility☐ Skilled Nursing or Intermediate Care Facility☐ Human Services Agency☐ Justice System☐ Information Unavailable☐ Health/Rehab Clinic (other)☐ Acute Care Hospital (other  
inpatient or ER)☐ Other clinic (PIP or rural health)☐ CMHC☐ Internal Inpatient Transfer**PATIENT INFORMATION**Name of patient (*last, first, middle, maiden*)Date of birth (*month, day, year*)

Social Security number

Sex

☐ Male ☐ FemaleHome address (*number and street*)

Telephone number

Primary language

Race

City

County

Previous SOFs:

**MARITAL  
STATUS:**☐ Married☐ Divorced☐ Single☐ Widowed**COMMITMENT STATUS:**☐ Temporary Commitment☐ ICST☐ Extended Temporary☐ Commitment Pending☐ Regular Commitment☐ Voluntary

Date of Commitment: \_\_\_\_\_

County of Commitment: \_\_\_\_\_

Order to Treat: \_\_\_\_\_

Any outstanding legal charges? ☐ Yes ☐ NoForcible Felonies? ☐ Yes ☐ No

County: \_\_\_\_\_

Explain: \_\_\_\_\_

LOC for MR/DD: ☐ Yes ☐ NoExpiration date  
(*month, day, year*): \_\_\_\_\_

Check if:

☐ Health Care Representative☐ Custodial Parent☐ Legal Guardian

Emergency contact:

☐ Same as above☐ Different☐ Release attached

Name

Relationship

Address (*number and street, city, state, and ZIP code*)

Telephone number

Name

Relationship

Address (*number and street, city, state, and ZIP code*)

Telephone number

**Insurance:****Numbers:****Financial Resources:****Payee:**

Name of payee

☐ Medicare☐ Medicaid☐ Other☐ SSD \$ \_\_\_\_\_☐ SSI \$ \_\_\_\_\_☐ VA \$ \_\_\_\_\_☐ Other \$ \_\_\_\_\_☐ Self☐ OtherAddress (*number and street, city, state, and ZIP code*)

## PSYCHIATRIC INFORMATION

Current placement

Date admitted (*month, day, year*)

Address (*number and street, city, state, and ZIP code*)

Diagnosis Axis I

Axis II

Axis III

GAF: Past 12 months

GAF: Current

IQ (MR/DD):

Current Symptoms and Behaviors :

### Reason for Hospitalization

#### RECOVERY NEED

Select 2:

1 = Primary Treatment Need

2 = Secondary Treatment Need

- ☐ Stabilization of Psychiatric Symptoms
- ☐ Reduction of Aggressive Behavior
- ☐ Improved Medication Management
- ☐ Improved Treatment Plan Participation
- ☐ Community Integration Training
- ☐ Specialized Treatment Programming
- ☐ Completion of Substance Abuse Program
- ☐ Reduction of Inappropriate Sexual Behaviors
- ☐ School or Educational Programming
- ☐ Increased Diagnosis Awareness
- ☐ Demonstration of Behavior Mod Skills
- ☐ Increased ADL Proficiency
- ☐ Stabilization of Medical or Nursing Issues
- ☐ Reduction of Self Harming Behavior
- ☐ Legal Education
- ☐ Restoration of Competency
- ☐ Other: \_\_\_\_\_

#### COMMUNITY INTEGRATION TRAINING MODULES

Defined From "Recovery Need"

Select 2

- ☐ Socialization Skills
- ☐ Coping Skills
- ☐ Stress Identification
- ☐ Problem Solving Skills
- ☐ Communication Skills
- ☐ Health Education & Awareness
- ☐ Nutritional Education
- ☐ Money Management
- ☐ Vocational Preparation
- ☐ Resource Linkage
- ☐ Support System Development
- ☐ Other: \_\_\_\_\_

#### SPECIALIZED TREATMENT PROGRAMMING

Defined From "Recovery Need"

Select 1 (1 from Each Subcategory)

- ☐ MI + Addiction Treatment
- ☐ Sexual Responsibility Training
- ☐ Borderline Treatment Program
- ☐ Polydipsia &/or Fluid Management
- ☐ MI + MRDD
- ☐ Eating Disorder
- ☐ PTSD – Combat Related
- ☐ Impairment (*Select 1*)
  - ☐ Physical Disability
  - ☐ Visual
  - ☐ Deaf
  - ☐ Mobility
- ☐ Adaptive Equipment Needs
- ☐ Overt Aggression (*Select 1*)
  - ☐ Verbal
  - ☐ Physical - Objects
  - ☐ Physical - Self
  - ☐ Physical - Others
- ☐ Other: \_\_\_\_\_

|                                                                                                                                                                    |                             |                             |                                          |                             |                                           |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|-----------------------------|------------------------------------------|-----------------------------|-------------------------------------------|
| Specify measurable recovery goals related to the treatment needs noted above:                                                                                      |                             |                             |                                          |                             |                                           |
| Goal for primary treatment need:                                                                                                                                   |                             |                             |                                          |                             |                                           |
| Goal for secondary treatment need:                                                                                                                                 |                             |                             |                                          |                             |                                           |
| ANTICIPATED LENGTH OF STAY AND PLACEMENT AVAILABILITY UPON DISCHARGE                                                                                               |                             |                             |                                          |                             |                                           |
|                                                                                                                                                                    | Exists                      | Development<br>Required     | Full with Wait List                      | Exists Out of Home<br>Area  | Does not Exist<br>without<br>Modification |
| 1 - 6 months                                                                                                                                                       | <input type="checkbox"/> 6  | <input type="checkbox"/> 7  | <input type="checkbox"/> 8               | <input type="checkbox"/> 9  | <input type="checkbox"/> 10               |
| 6 – 12 months                                                                                                                                                      | <input type="checkbox"/> 11 | <input type="checkbox"/> 12 | <input type="checkbox"/> 13              | <input type="checkbox"/> 14 | <input type="checkbox"/> 15               |
| 1 – 2 years                                                                                                                                                        | <input type="checkbox"/> 16 | <input type="checkbox"/> 17 | <input type="checkbox"/> 18              | <input type="checkbox"/> 19 | <input type="checkbox"/> 20               |
| 2 – 3 years                                                                                                                                                        | <input type="checkbox"/> 21 | <input type="checkbox"/> 22 | <input type="checkbox"/> 23              | <input type="checkbox"/> 24 | <input type="checkbox"/> 25               |
| Has the Patient Experienced or Demonstrated ( <i>Check all that apply</i> ):                                                                                       |                             |                             |                                          |                             |                                           |
| <input type="checkbox"/> Violence to self within last six (6) months<br>Last date ( <i>month, day, year</i> ): _____<br>Specify: _____                             |                             |                             |                                          |                             |                                           |
| <input type="checkbox"/> Violence to others within last six (6) months<br>Last date ( <i>month, day, year</i> ): _____<br>Specify: _____                           |                             |                             |                                          |                             |                                           |
| <input type="checkbox"/> Substance abuse within last six (6) months<br>Last date ( <i>month, day, year</i> ): _____<br>Substance type: _____                       |                             |                             |                                          |                             |                                           |
| <input type="checkbox"/> Psychological Trauma (at any time – includes physical, sexual, emotional abuse and/or severe childhood neglect):<br>Substance type: _____ |                             |                             |                                          |                             |                                           |
| <input type="checkbox"/> History or Current Suicidal Ideation                                                                                                      |                             |                             |                                          |                             |                                           |
| Current Medications and Dosages:                                                                                                                                   |                             |                             | Medications Recently Changed and Reason: |                             |                                           |
|                                                                                                                                                                    |                             |                             |                                          |                             |                                           |
| TREATING PHYSICIAN                                                                                                                                                 |                             |                             |                                          |                             |                                           |
| Name of physician                                                                                                                                                  |                             |                             | Telephone number                         |                             |                                           |

## MEDICAL NEEDS / SPECIAL NEEDS

☐ Diet  
Specify: \_\_\_\_\_

☐ Mobility  
Restrictions: \_\_\_\_\_

Assistive Devices: \_\_\_\_\_

☐ Fall Risk  
☐ Low ☐ Moderate ☐ High

☐ Hearing Impairment  
☐ Mild ☐ Moderate ☐ Severe  
Assistive Devices: \_\_\_\_\_

☐ Visual Impairment  
☐ Mild ☐ Moderate ☐ Severe  
Assistive Devices: \_\_\_\_\_

☐ Other Medical Equipment  
Specify: \_\_\_\_\_

☐ Communication Difficulty  
Assistive Devices: \_\_\_\_\_

☐ Past History of T.B.  
Current PPD:  
Results: \_\_\_\_\_

Date: \_\_\_\_\_

Chest X-Ray:  
Results: \_\_\_\_\_

Date: \_\_\_\_\_

☐ Communicable Disease  
Specify: \_\_\_\_\_

☐ Communicable &/or Infectious  
Disease History  
☐ History of MRSA  
☐ History of Multi-drug  
☐ Resistant Organisms.

☐ Diabetes  
☐ Insulin Dependent

☐ Allergies  
List: \_\_\_\_\_

☐ Surgeries Within the Last 12 Months  
Specify: \_\_\_\_\_

☐ Circulatory Issues (Heart Disease,  
HTN, etc.)  
Specify: \_\_\_\_\_

☐ Respiratory (COPD, asthma)  
Specify: \_\_\_\_\_

Assistive Devices: \_\_\_\_\_

☐ GI Tract (ulcers, gastric reflux,  
colostomy G-tube, etc.)  
Specify: \_\_\_\_\_

☐ GU Tract - Urinary (dialysis,  
incontinence, catheter, etc.)

☐ Polydipsia

☐ Integumentary System (Skin Issues)  
Specify: \_\_\_\_\_

☐ Neurological (seizures, NMS, altered  
gait)  
Specify: \_\_\_\_\_

### Smoking History

☐ Currently smokes: amt/day \_\_\_\_\_

☐ Quit smoking less than 1 year ago

☐ Never smoked

Received counseling for smoking:

☐ Yes Approx date: \_\_\_\_\_

☐ No

☐ Currently uses other tobacco products  
Type: \_\_\_\_\_

Provide additional information regarding the current treatment of items specified in Medical/Special Needs section (as applicable).

A copy of current physical may be used if current treatment is included. Attach additional sheets if necessary.

ANSA/CANS Completion Date (month, day, year): \_\_\_\_\_ LON: \_\_\_\_\_

Patient strengths:

- a) \_\_\_\_\_
- b) \_\_\_\_\_
- c) \_\_\_\_\_

### **GATEKEEPER / DISCHARGE PLAN - Community Placement Needs**

Name of agency

Gatekeeping Liaison Coordinating Admission/Discharge

Telephone number

Address (*number and street*)

Date (*month, day, year*)

City / State / ZIP code

Signature

- ☐ SGL (24hr) SMI
- ☐ SGL (24hr) MR/DD
- ☐ MRDD Supported Living Waiver
- ☐ MRDD ICF/MR Facility
- ☐ Family Personal Home
- ☐ Specialized Residential Facility
- ☐ Medical or Nursing Facility
- ☐ Cluster Apt. Setting or SILP

- ☐ DOC (forensic only)
- ☐ Subacute
- ☐ MRDD ESN
- ☐ Children's Residential Facility
- ☐ Halfway Program – Chemical Addiction
- ☐ AFA
- ☐ Therapeutic Foster Care
- ☐ Other: \_\_\_\_\_

### **GATEKEEPER / DISCHARGE PLAN - Post SOF Community Program Needs**

- ☐ AIRS / CAIRS
- ☐ Intensive Outpatient
- ☐ Medication Evaluation & Monitoring
- ☐ Case Management
- ☐ Substance Abuse Aftercare
- ☐ Vocational & Employment Services
- ☐ ACT – Assertive Community Treatment
- ☐ IDDT – Integrated Dual Diagnosis Treatment
- ☐ ATR – Access to Recovery

- ☐ SOC – Systems of Care (SED)
- ☐ Children's Medicaid Waiver
- ☐ Behavioral Modification & Support
- ☐ Community Habilitation
- ☐ Health Care Coordination
- ☐ Prevocational/Sheltered Employment
- ☐ Supportive/Supported Housing
- ☐ IMR – Illness Management and Recovery Program
- ☐ Other: \_\_\_\_\_

|                                                                   |                         |
|-------------------------------------------------------------------|-------------------------|
| Required Signatures:                                              | Date (month, day, year) |
| <b>Consumer:</b>                                                  |                         |
|                                                                   |                         |
| _____                                                             | _____                   |
| Consumer Signature &/or Parent or Guardian                        |                         |
|                                                                   |                         |
| _____                                                             | _____                   |
| Witness if No Consumer Signature                                  |                         |
|                                                                   |                         |
| <b>Gatekeeping Staff Completing Referral on Behalf of Agency:</b> |                         |
|                                                                   |                         |
| _____                                                             | _____                   |
| Staff Signature                                                   |                         |
|                                                                   |                         |
| _____                                                             |                         |
| Title / Agency &/or Unit                                          |                         |
|                                                                   |                         |
| _____                                                             |                         |
| Telephone number                                                  |                         |
|                                                                   |                         |

## **DMHA HOSPITAL REFERRAL FORM DIRECTIONS**

When referral to a DMHA hospital is determined appropriate by the Gatekeeper, the DMHA Hospital Referral Form is to be completed, signed by the Gatekeeper and forwarded to the appropriate hospital with the supporting documents listed below. Upon receipt of the form and required documents, the hospital admissions team will review and contact the Gatekeeper within five working days regarding service appropriateness, bed availability, and waiting list.

The following documents are required with the Admission Referral Form:

- Current mental status (most recent psychiatric assessment) and significant findings
- Current risk factors (self-harm, aggression, elopement, falls, etc.)
- Current full physical examination within 30 days of admission
- Any pertinent medical workups including labs within 30 days of referral
- Commitment papers (or as soon as available; must be prior to admission)
- Legal papers (guardianship, wardship, Advance directives, DNR's, 4CR designations, probation contacts/status, status of legal charges, etc.)
- Current treatment plan (include current medications with dosages)
- Current psychological testing scores if available
- Identification Verification (state issued picture IDs, drivers license (if applicable), birth certificate, etc.)
- All available financial information (Medicaid/Medicare cards, SS cards, income verification, etc)
- Result of TB test (date given and read). Test given within 30 days of referral but required within 90 days prior to admission.
- ANSA/CANS (within 30 days of referral and updated every 90 days if waiting)

Additional documentation is required for referrals with secondary MR/DD diagnosis and Child/Youth referrals:

### **Referrals with Secondary MR/DD:**

- Diagnostic and Evaluation
- DD Eligibility if Determined (LOC)
- Summary of BDDS Involvement
- CMHC Screening
- School History and Education (IEP if available)
- Psychological testing scores and clinical contact information
- Summary of Supports Provided by MR/DD provider &/or CMHC

### **Child/Youth Referrals**

- Immunization records
- School History & Education, Records & IEP (psychoeducational evaluation, if possible)
- History of Past Treatment
- Birth Certificate

An updated Admission Referral Form must be submitted for a consumer exceeding **30 days** on the admissions wait list. - Updates must be submitted by using designated Attachments A or B. A discharge summary from the current placement must be submitted with the final update. This is to insure that the state hospitals have current information at admission. Initial referral and referral updates must be discussed and signed by the consumer &/or legal guardian. A witness must sign for those without legal guardians and/or those refusing to sign.

Upon admission a written update is required designating behavioral status. A medication reconciliation sheet must be attached identifying medications given in the last 24-hours, time of next dosage, and a contact number if SOF needs clarification or further details.

## **DEFINITIONS OF REFERRAL SOURCE TO GATEKEEPER**

**IMPORTANT:** This field identifies who referred the patient to the community mental health center (CMHC):

(01) Self, family or friend

- Client came directly to the CMHC from home, family, streets, etc.

(02) Community Mental Health Center / Managed Care Provider

- Client was already the CMHC's patient; or in a group home, halfway house, supervised apartments
- Client was referred to the gatekeeper by another CMHC/MCP

(03) Acute Care Hospital

- Any inpatient unit other than the CMHC or subcontracted facility or ER

(04) Internal Inpatient Transfer

- Transfer from a hospital inpatient unit at the same facility resulting in a separate claim to the payer source

(05) Human Services Agency

- Referral from a social service agency such as shelters, food pantry, and/or non-mental health related "help" organization

(06) Non-Health Care Facility

- Residential service provider not associated with the CMHC
- Outpatient service provider not associated with the CMHC

(07) Skilled Nursing or Intermediate Care Facility

- Nursing homes and/or rehabilitation centers

(08) Health/Rehab Clinic

- Inpatient rehabilitation unit for medical issues and/or critical access hospitals
- Assisted living programs

(09) Justice System – Jail, Correctional Facility, Correction-Related Agencies

- Office of General Counsel (DMHA legal office) referrals made to SOF's
- Client was referred to the CMHC by police, court, correction/probation agencies, juvenile justice, probate court, civil court, others.

(10) Information Unavailable

- Origin of client's referral to the CMHC is unavailable or unknown.
- Written or verbal history is unable to be verified or determined.

(11) Other Clinic

- A free-standing psychiatric provider
- Rural health care clinics